



HSBC WorldTrader

How to transfer your HSBC Invest holdings

Important information before you begin:

- Confirm your holdings with HSBC Invest are unrestricted and available for transfer. Cancel any open orders on HSBC Invest or wait for any executed trades to settle and pending corporate actions to complete.
- Ensure you have an open HSBC WorldTrader account, and locate your WorldTrader account number (U Number). Refer to step 2 for details on how to locate your U Number.

How to move your assets to HSBC WorldTrader

 **HSBC**

HSBC Bank Australia Limited

HSBC Invest to HSBC WorldTrader Asset Transfer Form

Please complete this form to transfer your holdings to HSBC WorldTrader. HSBC WorldTrader uses a custodian model for all Australian and International securities. Interactive Brokers Australia (IBA) provides all execution and custody services and may act as a sub-custodian (which may be an IBA).

Step 1: Access the asset transfer form

Download from <http://www.hsbc.com.au/asset-transfer>

HSBC WorldTrader Account Information

WorldTrader Account Number (ex: U01010101)

Registered Name of WorldTrader Account (e.g. Joe Smith)

Account Type (ex: single, joint, corporate, trust, etc) Single

Step 2: Provide your HSBC WorldTrader account details

- To find your U Number, open the HSBC WorldTrader app. From the 'Home' tab, select the profile icon. Note down your unique U Number (example: U12345678) from your profile details.
- Note: Asset transfers are currently only available for individual accounts, this information has been pre-filled for you.

HSBC Invest Account to be transferred to HSBC WorldTrader

Name of Broker Currently Holding Your Account **Third Party Platform Pty Ltd**
Address of Current Broker **Governor Phillip Tower, Floor 30, 1 Farrer Place**
City/State/Country **Sydney NSW 2000 Australia**
Contact Number of Current Broker **1300 782 811 or +61 3 8663 2766**
Email Address of Current Broker **support@sharetrading.hsbc.com.au** Current Broker **PID 2552**
Name of Account as registered at HSBC Invest
Account Number at HSBC Invest
Account Owner Address as recorded on your HSBC Invest account

Step 3: Enter your HSBC Invest account details

- The initial section of the form has been pre-filled for you.
- Enter the details of your HSBC Invest account. For the transfer to work, your account registration details for HSBC Invest and WorldTrader need to match.
- Find these in 'Account Details' on the HSBC Invest portal.

Please select one option

☐ Please transfer all stock holdings from HSBC Invest to HSBC WorldTrader

OR

☐ Only transfer the HSBC Invest holdings listed below

Step 4: Select your transfer option

- To transfer all of your holdings, tick the first box and proceed to step 6.
- To transfer specific holdings instead, tick the second box and proceed to step 5.
- If you have multiple accounts, you'll need to complete a separate form for each one.

TO BE FILLED BY CUSTOMER			
Symbol	Description of asset	Quantity	ISIN (where applicable)
DCX	SAMPLE	5000	29.10.04

Step 5: Provide details for the holdings you're transferring

- Enter the symbol, asset description, quantity, and ISIN (where applicable).
- If you need more space, attach an appendix with additional holdings details.

Signatures - Please read and sign this section

I understand that this transfer is subject to the HSBC WorldTrader Terms and Conditions and the Interactive Brokers Australia Terms and Conditions.

X

Customer signature

Step 6: Sign, date and return your completed form

- Provide your handwritten signature at the bottom of the form and date it.
- Scan the completed form to hsbcinvest@hsbc.com.au or mail to Attention: HSBC WorldTrader GPO Box 5302 SYDNEY NSW 2000.

We're here to help

Contact our friendly customer service team at 1300 308 008.

To open an HSBC WorldTrader account download the HSBC Australia Mobile Banking app.

