



HSBC Bank Australia Limited

HSBC Invest to HSBC WorldTrader Asset Transfer Form

Please complete this form to transfer your holdings to HSBC WorldTrader. HSBC WorldTrader uses a custodian model for all Australian and International securities. Interactive Brokers Australia (IBA) provides all execution and custody services and may arrange a sub-custodian (which may be an IBA affiliate), to hold financial products for HSBC WorldTrader customers.

If you are transferring more than one account, please complete one form for each account that you are transferring. Your HSBC Invest account registration (salutation, name and address) must match the registration details of your HSBC WorldTrader account that is receiving the securities. If the account or holding registration details do not match your HSBC WorldTrader account registration, the transfer will be rejected by either the sending or the receiving broker. You will need to contact your existing broker or share registry to update your registration details.

HSBC only accepts securities that are available for trading on the HSBC WorldTrader platform. HSBC will not accept transfer of any securities in restricted form. In the event that securities are received in restricted form, the securities will be returned to the sending broker.

Please fill out all the fields, print and provide your handwritten signature at the bottom of this form.

Please scan and email the form to hsbcinvest@hsbc.com.au or mail to:

Attention: HSBC WorldTrader

GPO Box 5302

SYDNEY NSW 2001

HSBC WorldTrader Account Information

WorldTrader Account Number (ex: U01010101)

Registered Name of WorldTrader Account (e.g. Joe Smith)

Account Type (ex: single, joint, corporate, trust, etc) Single

HSBC Invest Account to be transferred to HSBC WorldTrader

Name of Broker Currently Holding Your Account Third Party Platform Pty Ltd

Address of Current Broker Governor Phillip Tower, Floor 30, 1 Farrer Place

City/State/Country Sydney NSW 2000 Australia

Contact Number of Current Broker 1300 782 811 or +61 3 8663 2766

Email Address of Current Broker support@sharetrading.hsbc.com.au

Current Broker PID 2552

Name of Account as registered at HSBC Invest

Account Number at HSBC Invest

Account Owner Address as recorded on your HSBC Invest account

Please select one option

☐ Please transfer all stock holdings from HSBC Invest to HSBC WorldTrader

OR

☐ Only transfer the HSBC Invest holdings listed below

Assets to be Transferred - List description of positions. If more space is required to list all assets to be transferred please include an attachment to this form.

TO BE FILLED BY CUSTOMER			
Symbol	Description of Asset	Quantity	ISIN (where applicable)
EXAMPLE			
DCX	DAIMLER-CHRYSLER AG	5000	29.10.04

Signatures – Please read and sign this section

I understand that this transfer is subject to the HSBC WorldTrader Terms and Conditions and the Interactive Brokers Australia Terms and Conditions.

X

Customer Signature

Date