



# HSBC WorldTrader Asset Transfer guide

## Important information before you begin:

- Confirm your holdings with HSBC Invest are unrestricted and available for transfer. Cancel any open orders on HSBC Invest or wait for any executed trades to settle and pending corporate actions to complete.
- Ensure you have an open HSBC WorldTrader account, and locate your WorldTrader account number (U Number). Refer to step 2 for details on how to locate your U Number.

## How to move your assets to HSBC WorldTrader



HSBC Bank Australia Limited

**HSBC Invest to HSBC WorldTrader Asset Transfer Form**

Please complete this form to transfer your holdings to HSBC WorldTrader. HSBC WorldTrader uses a custodian model for all Australian and International securities. Interactive Brokers Australia (IBA) provides all execution and custody services and may act as a sub-custodian (which may be an IBA).

### Step 1: Access the asset transfer form

Download from <http://www.hsbc.com.au/asset-transfer>

**HSBC WorldTrader Account Information**

WorldTrader Account Number (ex: U01010101)

Registered Name of WorldTrader Account (e.g. Joe Smith)

Account Type (ex: single, joint, corporate, trust, etc) Single

### Step 2: Provide Your HSBC WorldTrader Account Details

- To find your U Number, open the HSBC WorldTrader app. From the 'Home' tab, select the profile icon. Note down your unique U Number (example: U12345678) from your profile details.
- Note: Asset transfers are currently only available for sole accounts, this information has been pre-filled for you.

**HSBC Invest Account to be transferred to HSBC WorldTrader**

Name of Broker Currently Holding Your Account **Third Party Platform Pty Ltd**

Address of Current Broker **Governor Phillip Tower, Floor 30, 1 Farrer Place**

City/State/Country **Sydney NSW 2000 Australia**

Contact Number of Current Broker **1300 782 811 or +61 3 8663 2766**

Email Address of Current Broker **support@sharetrading.hsbc.com.au** Current Broker **PID 2552**

Name of Account as registered at HSBC Invest

Account Number at HSBC Invest

Account Owner Address as recorded on your HSBC Invest account

### Step 3: Enter Your HSBC Invest Account Details

- The initial section of the form has been pre-filled for you.
- Please enter your account name registered with HSBC Invest, HSBC account number and address on record.
- This information can be found under the 'Account Details' section after logging into the HSBC Invest portal.

**Please select one option**

☐ Please transfer all stock holdings from HSBC Invest to HSBC WorldTrader

OR

☐ Only transfer the HSBC Invest holdings listed below

### Step 4: Select your transfer option

- To transfer all of your holdings, tick the first box and proceed to step 6.
- To transfer specific holdings instead, tick the second box and proceed to step 5.
- If you have multiple accounts, you'll need to complete a separate form for each one.

| TO BE FILLED BY CUSTOMER |                      |          |                         |
|--------------------------|----------------------|----------|-------------------------|
| Symbol                   | Description of asset | Quantity | ISIN (where applicable) |
| DCX                      | SAMPLE               | 5000     | 29.10.04                |

### Step 5: Provide details for the assets you're transferring

- Enter the symbol, asset description, quantity, and ISIN (where applicable).
- If you need more space, attach an appendix with additional asset details.

**Signatures** - Please read and sign this section

I understand that this transfer is subject to the HSBC WorldTrader Terms and Conditions and the Interactive Brokers Australia Terms and Conditions.

**X**

Customer signature

### Step 6: Sign, date and return your completed form

- Provide your handwritten signature at the bottom of the form and date it.
- Scan the completed form to [hsbcinvest@hsbc.com.au](mailto:hsbcinvest@hsbc.com.au) or mail to Attention: HSBC WorldTrader GPO Box 5302 SYDNEY NSW 2000.

### We're here to help

Contact our friendly customer service team at 1300 308 008.

To open an HSBC WorldTrader account download the  
HSBC Australia Mobile Banking app.



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## Asset transfer FAQ's

### What happens if my account registration details do not match between HSBC Invest and HSBC WorldTrader?

To ensure a successful transfer, your account details with HSBC WorldTrader must match those with HSBC Invest. If they don't, update your registration details with your current broker or share registry. If shares are held under a different name, complete an 'Off Market Transfer Request' form from HSBC Invest or the share registry.

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### Are there any restrictions on the types of securities that can be transferred?

Yes, HSBC only accepts securities available for trading on the HSBC WorldTrader platform and will not accept securities in restricted form. HSBC WorldTrader generally accept an inbound transfer of any security position which meet the following conditions:

- The registration of the holdings need to match the registration on the WorldTrader account
- The position is listed on an electronic exchange or marketplace offered by IBKR
- The position is not subject to any settlement, transfer or trade limitations and/or restrictions
- The position is not classified as a U.S. Microcap security

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### Who provides the execution and custody services for HSBC WorldTrader?

Interactive Brokers Australia (IBA) provides all execution and custodial services and has arranged for a third-party sub-custodian, BNP Paribas, to hold securities for HSBC WorldTrader customers.

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### Will I have access to my HSBC Invest account and reports once I have transferred my portfolio?

Yes, you will still be able to access your HSBC Invest account via the HSBC Invest mobile app and online trading portal. You can access your reports and statements from the online trading platform. Alternatively, you can also contact HSBC Invest customer support via [support@sharetrading.hsbc.com.au](mailto:support@sharetrading.hsbc.com.au) or on 1300 782 811 to request statement and reports.

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